



06.08.04

IFW

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4+

Application Number

10/761,675

Filing Date

01/21/2004

First Named Inventor

Jesse R. BLACK

Art Unit

1621

Examiner Name

Not Yet Assigned

Attorney Docket Number

TH2435

ENCLOSURES (Check all that apply)

- | | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | 1) 5 refs. attached (9 refs total); |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | 2) Certificate of Mailing 37 CFR 1.10; and |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | 3) Return Receipt Postcard. |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (TH2435), maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Firm, P.C. | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Paula D. Morris, Reg. No. 31,516

Signature

Date

6-7-04**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Please see attached certificate of mailing under 37 CFR 1.10

Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Black § Group Art Unit: 1621
Serial No.: 10/761,675 § Examiner: Not Yet Assigned
Filed: January 21, 2004 § Atty. Docket No.: TH2435
Title: PROCESS FOR LOW TEMPERATURE CLEAVAGE OF AN OXIDATION
MIXTURE COMPRISING HYDROPEROXIDES

MAIL STOP NON FEE AMENDMENT

Commissioner for Patents
PO BOX 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO/SB/08A be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. **50-0997 (TH2435)**, maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Firm, P.C..

Respectfully submitted,

Paula D. Morris, Registration No. 31,516
The Morris Law Firm, P.C.
10260 Westheimer, Suite 360
Houston, Texas 77042-3110
Telephone: (713) 334-5151
Facsimile: (713) 334-5157



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	10/761,675
Filing Date	01/21/2004
First Named Inventor	Jesse R. Black
Art Unit	1621
Examiner Name	N/A
Attorney Docket Number	TH2435

Sheet	1	of	1
-------	---	----	---

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP0548986A1	06-30-1993	SUMITOMO		
		EP0578194B1	01-12-1994	SUMITOMO		
		EP1088807A1	04-04-2001	PHENOLCHEMIE		
		EP01088809A1	04-04-2001	PHENOLCHEMIE		
		JP62-114922	05-26-1987	DAINIPPON INK		

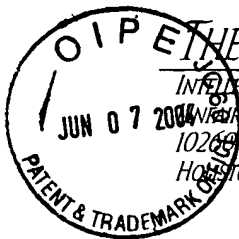
Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language. Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



THE MORRIS LAW FIRM, P.C.

INTELLECTUAL PROPERTY LAW INCLUDING PATENT, TRADEMARK, COPYRIGHT LAW,
UNFAIR COMPETITION AND RELATED MATTERS
10269 WESTHEIMER, SUITE 360
HOUSTON, TEXAS 77042

PAULA D. MORRIS
ALBERTO Q. AMATONG, JR.
SCOTT D. COMPTON

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date indicated below addressed to:

MAIL STOP AMENDMENT
Commissioner for Patents
PO BOX 1450
Alexandria, VA 22313-1450

on 6-7-04
Date

EV 418175269 US
Express Mail Label No.

Ann Marie Alaniz
Signature

Ann Marie Alaniz

Typed or Printed Name

Note: Each paper must have its own certificate of Mailing or this certificate must identify each submitted paper.

Attached are the following pages:

Transmittal form PTO/SB/21 [1 page],
Information Disclosure Statement transmittal [1 page];
IDS form PTO/SB/08A listing 9 total references [1 page]
5 Foreign Patent Documents and return receipt postcard.

Applicant:	<u>Jesse R. BLACK</u>	Group Art Unit:	<u>1621</u>
Serial No.:	<u>10/761,675</u>	Examiner:	<u>Not Yet Assigned</u>
Filing Date:	<u>01/21/2004</u>	Atty. Docket No.:	<u>TH2435</u>
Title:	<u>Process for Low Temperature Cleavage of an Oxidation Mixture Comprising Hydroperoxides</u>		